

Substitute for form 1449A/PTO

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 8

**Complete if Known**

Application Number	09/997,408
Filing Date	November 30, 2001
First Named Inventor	Elise Y. Tung Loo
Art Unit	2142
Examiner Name	Robert B. Harrell
Attorney Docket Number	021756-012600US

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A1	US-5,301,320	04-05-1994	McAtee et al.	
	A2	US-5,659,616	08-19-1997	Sudia	
	A3	US-5,734,837	03-31-1998	Flores et al.	
	A4	US-5,745,574	04-28-1998	Muftic	
	A5	US-5,796,841	08-18-1998	Cordery et al.	
	A6	US-5,822,454	10-13-1998	Rangarajan	
	A7	US-5,831,975	11-03-1998	Chen et al.	
	A8	US-5,867,665	02-02-1999	Butman et al.	
	A9	US-5,867,824	02-02-1999	Saito et al.	
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	A11	US-5,903,882	05-11-1999	Asay et al.	
	A12	US-5,907,837	05-25-1999	Ferrel et al.	
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	A14	US-5,960,422	09-28-1999	Prasad	
	A15	US-5,961,593	10-05-1999	Gabber et al.	
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	A18	US-5,991,768	11-23-1999	Sun et al.	
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	A20	US-6,012,098	01-04-2000	Bayeh et al.	
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	A25	US-6,073,242	06-06-2000	Hardy et al.	
	A28	US-6,105,063	08-15-2000	Hayes, Jr.	
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	A29	US-6,154,776	11-28-2000	Martin	
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	A34	US-6,219,667 B1	04-17-2001	Lu et al.	
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Examiner Signature	<i>Robert B. Harrell</i>	Date Considered	8/3/2006
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<sup>1</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>2</sup> Applicant's unique citation designation number (optional). <sup>3</sup> Kind Codes of U.S. Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>4</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>5</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>6</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>7</sup> Applicant is to place a check mark here if English language Translation is attached.

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				Art Unit	2142
Examiner Name	Robert B. Harrell				
Attorney Docket Number	021756-012600US				
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U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number (Kind Code) <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A36	US-2001-0002485 A1	05-31-2001	Bisbee et al.	
	A37	US-2001-0007133 A1	07-05-2001	Moriconi et al.	
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Examiner Signature	<i>Robert B. Harrell</i>	Date Considered	8/3/2006
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		Art Unit	2142		
		Examiner Name	Robert B. Harrell		
Sheet	3	of	8	Attorney Docket Number	021756-012600US

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	A71	US-2002-0138572 A1	09-26-2002	Delany et al.	
	A72	US-2002-0138577 A1	09-26-2002	Teng et al.	
	A73	US-2002-0143943 A1	10-03-2002	Lee et al.	
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Examiner Signature	<i>Robert B. Harrell</i>	Date Considered	8/3/2006
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Examiner Name	Robert B. Harrell				
Sheet	4	of	8	Attorney Docket Number	021756-012600US


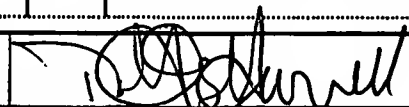
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	A106	US-2004-0049675 A1	03-11-2004	Micali et al.	
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	A120	US-6,851,054 B2	02-01-2005	Wheeler et al.	
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	A122	US-6,886,170 B1	04-26-2005	Bährs et al.	
	A123	US-6,901,588 B1	05-31-2005	Krapf et al.	
	A124	US-6,959,415 B1	10-25-2005	Söderberg et al.	
	A125	US-6,968,503 B1	11-22-2005	Chang et al.	
	A126	US-6,970,862 B2	11-26-2005	Kwan	

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		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	B1	WO	02/07398	A1	01-24-2002	Nokia Corporation		<input type="checkbox"/>
	B2							<input type="checkbox"/>

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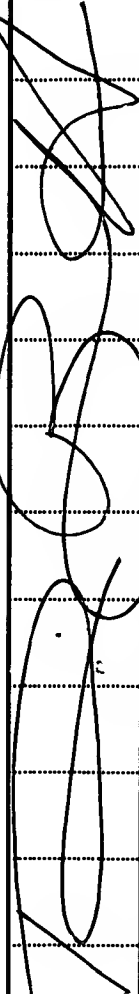
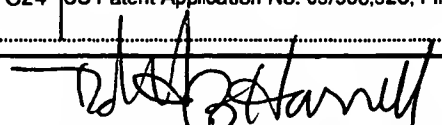
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Sheet	5	of	8		

NON PATENT LITERATURE DOCUMENTS				
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	C1	Howes, Timothy A. et al., "Understanding And Deploying LDAP Directory Services," Netscape Communications Corporation, pp. 2 cover pages, 102-105, 277-292 and 699-723, 1999	<input type="checkbox"/>	
	C2	Myers, M. et al., "X.509 Internet Public Key Infrastructure Online Certificate Status Protocol - OCSP," The Internet Society, 22 pages, June 1999		
	C3	US Patent Application No. 09/997,409, Office Action dated June 3, 2005, 17 pages	<input type="checkbox"/>	
	C4	US Patent Application No. 09/997,409, Office Action dated November 7, 2005, 12 pages	<input type="checkbox"/>	
	C5	US Patent Application No. 09/998,893, Office Action dated June 13, 2005, 20 pages		
	C6	US Patent Application No. 09/998,893, Final Office Action dated December 28, 2005, 12 pages		
	C7	US Patent Application No. 09/998,895, Office Action dated March 25, 2005, 18 pages	<input type="checkbox"/>	
	C8	US Patent Application No. 09/998,895, Final Office Action dated August 1, 2005, 19 pages		
	C9	US Patent Application No. 09/998,895, Advisory Action dated October 20, 2005, 3 pages		
	C10	US Patent Application No. 09/998,895, Office Action dated February 7, 2006, 13 pages	<input type="checkbox"/>	
	C11	US Patent Application No. 09/998,898, Office Action dated September 21, 2005, 13 pages	<input type="checkbox"/>	
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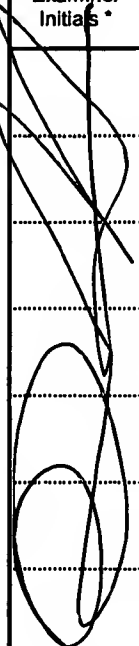

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	C13	US Patent Application No. 09/998,908, Final Office Action dated August 22, 2005, 14 pages	<input type="checkbox"/>	
	C14	US Patent Application No. 09/998,908, Advisory Action dated November 1, 2005, 3 pages	<input type="checkbox"/>	
	C15	US Patent Application No. 09/998,908, Office Action dated February 16, 2006, 15 pages	<input type="checkbox"/>	
	C16	US Patent Application No. 09/998,910, Office Action dated January 28, 2005, 12 pages	<input type="checkbox"/>	
	C17	US Patent Application No. 09/998,910, Office Action dated December 13, 2005, 10 pages	<input type="checkbox"/>	
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	C19	US Patent Application No. 09/998,914, Final Office Action dated January 30, 2006, 15 pages	<input type="checkbox"/>	
	C20	US Patent Application No. 09/998,916, Office Action dated March 21, 2005, 16 pages	<input type="checkbox"/>	
	C21	US Patent Application No. 09/998,916, Final Office Action dated September 8, 2005, 12 pages	<input type="checkbox"/>	
	C22	US Patent Application No. 09/998,916, Advisory Action dated December 9, 2005, 4 pages	<input type="checkbox"/>	
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	C33	US Patent Application No. 10/145,684, Advisory Action dated March 9, 2006, 3 pages	<input type="checkbox"/>		
	C34	US Patent Application No. 10/146,150, Office Action dated July 27, 2005, 17 pages	<input type="checkbox"/>		
C35	US Patent Application No. 10/146,150, Final Office Action dated December 20, 2005, 12 pages	<input type="checkbox"/>			
C36	US Patent Application No. 10/146,150, Advisory Action dated March 13, 2006, 4 pages	<input type="checkbox"/>			
Examiner Signature				Date Considered	8/3/2006

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				<b>Complete if Known</b>			
						Application Number	09/997,408
						Filing Date	November 30, 2001
						First Named Inventor	Elise Y. Tung Loo
						Art Unit	2142
						Examiner Name	Robert B. Harrell
Sheet	8	of	8	Attorney Docket Number	021756-012600US		

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Examiner Signature,		Date Considered	8/3/2004
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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